

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Goto et al.
SERIAL NO.: 08/915,004 GROUP NO.: 1636
FILING DATE: August 20, 1997 EXAMINER: Schwartzman, R.
TITLE: NOVEL PROTEINS AND METHODS FOR PRODUCING THE
PROTEINS

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98 OCT 16 AM 11:31

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being hand delivered in an envelope addressed to Assistant Commissioner for Patents, Washington, DC 20231, Attention: Examiner R. Schwartzman of Art Unit 1636 on this 16 day of October, 1998.

Barbara J. Wendley

Assistant Commissioner for Patents
Washington, D.C. 20231
Attn: Examiner R. Schwartzman, Art Unit 1636

Sir:

Submitted herewith is/are:

Transmittal Form (1 pg.); Fee Transmittal (1 pg.); Amendment and Response (4 pgs.); Transmittal of Submission of Substitute Specification (2 pgs.); Substitute Specification (62 pgs.); Marked up Substitute Specification (87 pgs.); Transmittal of Certified Copies (2 pgs.); Certified Copies of 054977/1995, 207508/1995, PCT/JP96/00374, and an English Translation of PCT/JP96/00374; Petition for One Month Extension of Time (1 pg.); Check in the amount of \$110.00; and postcard.

TRANSMITTAL FORM

Application Serial Number 08/915,004
Filing Date August 20, 1997
First Named Inventor Goto et al.
Group Art Unit 1636
Examiner Name Schwartzman, R.
Attorney Docket No. FJN-060 (3999/63)

ENCLOSURES (check all that apply)

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Check Attached
<input type="checkbox"/> Copy of Fee Transmittal Form
<input checked="" type="checkbox"/> Amendment/Response
<input checked="" type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Information Disclosure Statement
<input type="checkbox"/> Form PTO-1449
<input type="checkbox"/> Copies of IDS Citations
<input checked="" type="checkbox"/> Certified Copy of Priority Document(s)
<input type="checkbox"/> Response to Missing Parts/Incomplete Application | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)
<input type="checkbox"/> Formal Drawing(s)
<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition
<input type="checkbox"/> To Convert to a Provisional Application
<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application
<input type="checkbox"/> Small Entity Statement
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> After Allowance Communication to Group | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Return Receipt Postcard
<input checked="" type="checkbox"/> Certificate of Hand Delivery
<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
Transmittal of Submission of Substitute Specification
Substitute Specification
Marked up Substitute Specification
Transmittal of Certified Copies
Certified Copy of 054977/1995
Certified Copy of 207508/1995
Certified Copy of PCT/JP96/00374
Certified Copy of English Translation of PCT/JP96/00374 |
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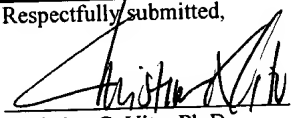
CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Date: October 14, 1998
Reg. No. 39,061
Tel. No.: (617) 248-7368
Fax No.: (617) 248-7100

Respectfully submitted,


Christine C. Vito, Ph.D.
Attorney for Applicants
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High Street Tower
125 High Street
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#9
J.G.
10/28/98

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FEE TRANSMITTAL

Note: Effective October 1, 1997.
Patent fees are subject to annual revision

Complete if Known

Application Serial Number	08/915,004
Filing Date	August 20, 1997
First Named Inventor	Goto et al.
Group Art Unit	1636
Examiner Name	Schwartzman, R.
Attorney Docket No.	FJN-060 (3999/63)

METHOD OF PAYMENT

1. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other
2. ☒ The Commissioner is hereby authorized to credit or charge any fee indicated below to Deposit Account No. 20-0531.
☐ Required Fees (copy of this sheet enclosed).
☒ Additional fee required under 37 CFR 1.16 and 1.17.
☒ Overpayment Credit.

FEE CALCULATION

1. FILING FEE

Large Entity

Fee (\$)	Fee Description	Fee Paid
790	Utility filing fee	
330	Design filing fee	
150	Provisional filing fee	

	Number Filed	Number Extra	Rate	Amount
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Total Claims - 20 = ----- x \$ 22.00 =

Independent Claims - 3 = ----- x \$ 82.00 =

☐ Multiple Dependent Claim(s), if any \$270.00 =

TOTAL: 0

SMALL ENTITY DISCOUNT:

SUBTOTAL (1) (\$) 0

2. AMENDMENT CLAIM FEES

Claims Remaining After Amend.	Highest No. Previously Paid For	Present Extra	Rate	Fee Paid
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Total 14 - 34 = 0 x \$ 22.00 = 0

Indep. 9 - 21 = 0 x \$ 82.00 = 0

☐ First Presentation of Multiple Dep. Claim + \$270.00 = 0

TOTAL: (\$) 0

SMALL ENTITY DISCOUNT:

SUBTOTAL (2) (\$) 0

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or	
130	130	Non-English specification	
2,520	2,520	For filing a request for reexamination	
110	55	Extension for reply within first month	110.00
400	200	Extension for reply within second month	
950	475	Extension for reply within third month	
1,510	755	Extension for reply within fourth month	
2,060	1,030	Extension for reply within fifth month	
310	155	Notice of Appeal	
310	155	Filing a brief in support of an appeal	
270	135	Request for oral hearing	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
240	240	Submission of Information Disclosure Statement (37 CFR 1.97(c))	
130	130	Submission of Information Disclosure Statement (37 CFR 1.97(d))	
790	395	Filing a submission after final rejection (37 CFR 1.129(a))	
790	395	For each additional invention to be examined (37 CFR 1.129(b))	
		Other (Specify)	

SUBTOTAL (3) (\$) 110.00

SUBTOTAL (1) 0

SUBTOTAL (2) 0

SUBTOTAL (3) (\$) 110.00

TOTAL (\$) 110.00

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Respectfully submitted,

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